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FAX COVER LETTER

May 4, 2004

TO: Examiner Van Doren
COMPANY: U.S. Patent and Trademark Office
PHONE: 703/305-3882
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FROM: Janet Byrne
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EMAIL: JByrne@oppenheimer.com
RE: U.S. Patent Application No. 09/520,943
Examiner: Beth Van Doren
Art Unit: 3623
Attorney Docket No. 60021-352501

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that a Preliminary Amendment (20 pages) is being facsimile transmitted to the Patent and Trademark Office on May 4, 2004:

Signature Janet Byrne

May 4, 2004
Date

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Serial No.: 09/520,943
Page 1 of 20

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial #: 09/520,943
Applicant: Andrew E. Fano et al.
Filed: March 8, 2000
Title: MAKING FINANCIAL DECISIONS BY BALANCING GOALS IN A FINANCIAL
MANAGER

Group Art: 3623
Examiner: B. Van Doren
Docket #: AND1P525 (60021-352501)

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Janet Byrne

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450,
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

The Examiner issued a final office action on November 18, 2003 (hereinafter "Office Action"). Applicants filed a response on February 18, 2004, and an advisory action, mailed March 12, 2004, stated that the amendments would not be entered since they would require additional searching. On April 19, 2004, Applicants filed a Request for Continued Examination for consideration of the amendments submitted March 12, 2004. Applicants now file this preliminary amendment. Please enter the following amendments and consider the following remarks prior to examination of the subject application.

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 15 of this paper.